

SHANNON L. MCDOUGALD

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Mr. McDougald founded his own firm, Shannon L. McDougald P.S., in July 2005. He was formerly with the Seattle office of Perkins Coie LLP where he was a member of the firm's Securities and Corporate Governance Litigation Group.

Mr. McDougald represents clients in litigation in federal and state courts, in arbitrations and before regulatory authorities. The principal focus of his practice is business litigation, including securities litigation, complex commercial disputes and employment litigation. Mr. McDougald has extensive experience, including jury trials, bench trials, class actions and more than one hundred securities arbitrations in which he has represented broker-dealers, financial services industry managers, stockbrokers, registered investment advisors and certified financial planners. He also has extensive experience with assisting financial service firms and professionals in connection with regulatory and compliance reviews and investigations. Mr. McDougald has represented clients on substantial matters in ten different states before federal and state courts, in arbitrations and in regulatory investigations. He has represented individual and financial services professionals as well as public and private companies with respect to a variety of legal claims, including litigation involving the federal securities laws, breaches of fiduciary duty, breach of contract claims and in litigation brought pursuant to state securities laws and consumer protection laws.

Mr. McDougald is a member of the Washington, Oregon and California bars and has been admitted to practice in the Ninth Circuit Court of Appeals, the Tenth Circuit Court of Appeals and district courts in the Western District of Washington, the Eastern District of Washington, the Central District of California, the Northern District of California, and the Southern District of California. He is a member of the American Bar Association, King County Bar Association and the Loren Miller Bar Association.

PROFESSIONAL EXPERIENCE

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| 2005-Present | Shannon L. McDougald, P.S. / McDougald & Cohen P.S. |
| 1997 – 2005 | Perkins Coie LLP – Seattle, WA office – Of Counsel |
| 1994 – 1997 | Keesal, Young & Logan – Seattle, WA office – Managing Partner |
| 1990 – 1997 | Keesal, Young & Logan – Long Beach, CA office – Partner California firm with offices in Long Beach, Seattle, San Francisco, Anchorage and Hong Kong |
| 1985 – 1990 | Keesal, Young & Logan – Long Beach, CA office - Associate |

PRACTICE AREAS

SECURITIES AND FINANCIAL SERVICES

- Lead counsel in securities class actions.
- Substantial experience as lead counsel in jury trials, bench trials and securities arbitrations. Responsible for all aspects of case management including client contact and communications, litigation strategy, development and implementation of case plans, case budget development, supervision of attorneys and staff, analysis and implementation of discovery plans, settlement analysis, mediations, preparation and presentation of matters through trial and appeal.
- Lead counsel in more than 150 binding arbitrations, primarily on behalf of broker-dealers and their managers and registered representatives in a variety of forums, including the New York Stock Exchange, the National Association of Securities Dealers, Inc. (NASD), and the American Arbitration Association, and involving claims of suitability, churning, fraud, breach of fiduciary duty, federal and state securities laws and regulatory claims and investigations.
- Consulted and advised corporations and individual financial professionals regarding compliance with federal and state securities regulations.
- Represented major credit card issuer and large finance corporation.
- Represented investment advisory firms and advised firms regarding compliance with securities rules and regulations.
- Lead Northwest counsel in expedited arbitrations for National Brokerage firm under program administered by the SEC.
- Regular participant in the Securities Industry Association legal and compliance division meeting and has presented comprehensive compliance seminars for Financial Services firms.
- Conducted internal reviews, investigations, and audits for financial services firms and advisors.

REPRESENTATIVE COMMERCIAL LITIGATION EXPERIENCE

- Lead counsel in a variety of commercial disputes including franchise litigation, commercial contract disputes, sales contract disputes, independent contractor agreement litigation, and international contract disputes.
- Successfully represented large manufacturer and finance company in a multimillion-dollar breach of contract dispute and obtained a unanimous jury verdict in favor of the financial firm.
- Successfully represented a large public company in multimillion-dollar international contract dispute-- client defeated claim and won a multimillion-dollar award in a commercial arbitration.
- Successful representation of a public company in copyright infringement action.
- Represented professionals and employers in a variety of legal disputes.
- Significant experience in dealing with and litigating unfair competition and breach of non-compete agreements.

REPRESENTATIVE EMPLOYMENT EXPERIENCE

- Represented clients in litigation involving claims for wrongful termination, sexual harassment, race and age discrimination, retaliation and alleged violations of state and federal employment laws. Regularly consults with clients regarding a variety of employment issues, including hiring and termination, employment contracts, sexual harassment issues, age discrimination issues, employee policy manuals and employment policies.

REPRESENTATIVE MARITIME & ADMIRALTY EXPERIENCE

- Represented clients in litigation involving claims for personal injury, including Jones Act claims by seaman and claims against medical professionals working aboard vessels.
- Represented clients in litigation involving claims for damaged and lost cargo.
- Represented clients in litigation involving claims concerning damage to vessels.

PROFESSIONAL AND CIVIC ACTIVITIES

Washington State Bar
California State Bar
Oregon State Bar
American Bar Association
King County Bar Association
Loren Miller Bar Association
Securities Industry Association, Member

EDUCATION

UCLA School of Law, J.D., 1984
Moot Court Honors Program, Distinguished Advocate Award

Loyola Marymount University, B.S., with honors, 1981
Student Body President
University Presidential Citation
Samuel Goldwyn Leadership Scholarship
Intercollegiate Coach